

6-K 1 spi\_6k.htm FORM 6-K

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549**

**FORM 6-K**

**REPORT OF FOREIGN PRIVATE ISSUER  
PURSUANT TO RULE 13a-16 OR 15d-16 UNDER  
THE SECURITIES EXCHANGE ACT OF 1934**

**For the Month of October 2020**

**Commission File No.: 001-37678**

**SPI ENERGY CO., LTD.**

**#1128, 11/F, No. 52 Hung To Road  
Kwun Tong, Kowloon  
Hong Kong S.A.R.  
(Address of Principal Executive Offices.)**

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F       Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): \_\_\_\_\_

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): \_\_\_\_\_

**Other Information**

On October 2, 2020, the registrant issued a press release announcing the closing of \$16 million registered direct offering, filed herewith as Exhibit 99.1.

**EXHIBIT INDEX**

<b>Exhibit No.</b>	<b>Description</b>
99.1	<a href="#">Press Release, dated October 2, 2020</a>

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**SPI Energy Co., Ltd.**

By : /s/ Xiaofeng Peng  
Name : Xiaofeng Peng  
Title : Chief Executive Officer

Date: October 6, 2020