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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

## FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the Month of November 2021

Commission File No.: 001-37678

SPI ENERGY CO., LTD.

4677 Old Ironsides Drive, Suite 190, Santa Clara, CA 95054

(Address of Principal Executive Offices.)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F. Form 20-F $\boxtimes$ Form 40-F $\square$
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

## **Results of Operations and Financial Condition**

SPI Energy Co., Ltd. (the "Company") hereby furnishes its Unaudited Condensed Consolidated Balance Sheet as of June 30, 2021 and Unaudited Condensed Consolidated Statements of Operations for the six-month period ended June 30, 2021 (the "Interim Financial Statements") as attached in Exhibit 99.1 to this Report of Foreign Private Issuer on Form 6-K.

The Company's Interim Financial Statements are prepared and presented in accordance with U.S. GAAP. However, the Interim Financial Statements have not been audited or reviewed by the Company's independent registered accounting firm.

The Interim Financial Statements may be adjusted in connection with the audit of the Company's financial statements for the year ended December 31, 2021. In addition, accounting estimates and assumptions made in preparing the Company's consolidated financial statements as of and for the year ended December 31, 2021 may differ from that used in the Interim Financial Statements due to the differences in reporting periods and changes in the Company's financial conditions during those periods. As a result, the Company cannot assure you that its consolidated financial statements as of and for the year ending December 31, 2021 will not contain significant differences, adjustments or discrepancies from the Interim Financial Statements. The Company's historical results do not necessarily indicate results expected for any future periods.

Cautionary Note about Forward-looking Statements. This Report of Foreign Private Issuer on Form 6-K contains certain "forward-looking statements." These statements are forward-looking in nature and subject to risks and uncertainties that may cause actual results to differ materially. All forward-looking statements are based upon information available to the Company as of the date of this Report of Foreign Private Issuer on Form 6-K, which may change, and the Company undertakes no obligation to update or revise any forward-looking statements, except as may be required under applicable securities law.

#### Financial Statements and Exhibits.

Exhibit No. Description

99.1 SPI Energy Co., Ltd. Unaudited Condensed Consolidated Balance Sheet as of June 30, 2021 and Unaudited Condensed Consolidated Statements of Operations for the six-month period ended June 30, 2021.

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: November 5, 2021

# SPI ENERGY CO., LTD.

By: /s/ Xiaofeng Peng

Name: Xiaofeng Peng

Title: Chief Executive Officer